



PLANNING & BUDGET

March 7, 2019

To: Dylan Rodriguez, Chair
Riverside Division

From: Katherine Kinney, Chair *Katherine Kinney*
Committee on Planning and Budget

RE: [Systemwide Review] Proposed Policy: Second Systemwide Review of Proposed
Presidential Policy on Open Access for Theses and Dissertations

Planning & Budget (P&B) discussed the proposed presidential policy on open access for theses and dissertations at their February 19, 2019 meeting. Members expressed general concern that open access could affect long term research results with open access to short term research publications. They understood that a thesis publication belongs to the graduate student, but the publications are based on small or short-term parts of a long-term faculty research project.

A majority of members felt the UCR Policy or UC Policy should be the same as the UC Berkeley policy, in which a two-year embargo is by default (versus a suggestion to have students choose to opt in). This seems to be the most reasonable policy so that faculty have a sufficient amount of time to use research findings before being made public. Members felt that there should be consultation and agreement with all of the stakeholders (including the faculty advisor) during the process. If there was a default two-year embargo, this gives all parties an opportunity to settle issues before publication/findings become public.