

GRADUATE COUNCIL

REPORT TO THE RIVERSIDE DIVISION

May 25, 2021

To be adopted:

Proposed changes to GR4 Requirements for the Degree of Doctor of Philosophy, GR4.5 Qualifying Examination, Qualifying Committee and Advancement to Candidacy and GR4.6 Dissertation Requirements bylaws.

<u>PRESENT:</u>	<u>PROPOSED:</u>
GR4 REQUIREMENTS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY	GR4 REQUIREMENTS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY
GR4.1 Notice of Intended Candidacy. Notice of intention to proceed to candidacy for the Ph.D. Degree is to be given as early as possible in a graduate student's career, preferably by the end of the first term of graduate study, to the Graduate Division through the department or graduate group of his/her major field of study.	REMOVE

<p>GR4.2 Residence Requirement. The minimum residence requirement for the Ph.D. Degree is two years, one of which must be spent in continuous residence at the University of California, Riverside. Graduates of the University or other approved candidates may complete a part of their residence requirement elsewhere, subject to the approval of the Graduate Council. However, no graduate student may be recommended for the Ph.D. Degree except upon completing at least one year of residence at the University of California, Riverside, devoted to such a course of study as the Graduate Council regards as a proper year's work.</p>	<p>GR4.1 Residence Requirement. The minimum residence requirement for the Ph.D. Degree is two years, one of which must be spent in continuous residence at the University of California, Riverside. Graduates of the University or other approved candidates may complete a part of their residence requirement elsewhere, subject to the approval of the Graduate Council. However, no graduate student may be recommended for the Ph.D. Degree except upon completing at least one year of residence at the University of California, Riverside, devoted to such a course of study as the Graduate Council regards as a proper year's work.</p>
<p>GR4.3 Foreign Language Requirement. Each department or graduate group shall determine whether or not a reading knowledge of one or more foreign languages shall form an integral part of the student's preparation for the doctor's degree. Satisfaction of a foreign language requirement shall be demonstrated, subject to approval of the department or graduate group, under one of the following options: (a) written or oral examination administered by the department or graduate group; (b) Educational Testing Service Graduate School Foreign Language Test; (c) satisfactory completion of a course at a specified level. Evidence of satisfaction of the requirement will be provided to the Dean of the Graduate Division for each student where appropriate. (Am 11 Dec 69)</p>	<p>GR4.2 Foreign Language Requirement. Each department or graduate group shall determine whether or not knowledge of one or more foreign languages shall form an integral part of the student's preparation for the <u>doctoral</u> degree. Satisfaction of a foreign language requirement shall be demonstrated, subject to approval of the graduate <u>program</u>, under one of the following options: (a) written or oral examination administered by the graduate <u>program</u>; (b) foreign language <u>placement exam or assessment conducted by an accredited organization</u>; (c) satisfactory completion of a <u>language course(s) at a specified level</u>; (d) <u>alternative language certification identified by the graduate program and approved by the Graduate Council</u>. Evidence of satisfaction of the requirement will be provided to the Dean of the Graduate Division for each student.</p>
<p>GR4.4 Program of Study. The student's program of study must be approved by the Graduate Council, embrace a field of investigation previously approved by his/her department or graduate group, and extend over the full period of study. However, recommendation for the degree is based on the attainments of the candidate rather than the duration of his/her study. The field of study chosen by the student may lie in one department, except for essential related subjects, or in a combination of departments.</p>	<p>GR4.3 Program of Study. The student's program of study must be approved by the Graduate Council, embrace a field of investigation previously approved by <u>the graduate program</u>, and extend over the full period of study. However, recommendation for the degree is based on the attainments of the candidate rather than the duration of study. The field of study chosen by the student may lie in one department, except for essential related subjects, or in a combination of departments.</p>
<p>GR4.5 QUALIFYING EXAMINATION, QUALIFYING COMMITTEE, AND ADVANCEMENT TO CANDIDACY.</p>	<p>GR4.5 <u>WRITTEN AND ORAL QUALIFYING EXAMINATIONS, ORAL QUALIFYING EXAM COMMITTEE, AND ADVANCEMENT TO CANDIDACY.</u></p>

<p>GR4.5.1 Upon the student's satisfactory completion of all preliminary requirements set by the department or graduate group of his/her major field of study and by the Graduate Council, including the maintenance of an average of at least three grade points per unit in all upper division and graduate courses related to his doctoral program and elected during his/her residence as a graduate student, the department or graduate group shall nominate a qualifying committee to the Graduate Council for appointment. This committee consists of not fewer than five members, at least one of whom shall be from a department or graduate group other than that of the student's major. The anticipated principal director of the student's research shall normally be a member of the committee.</p>	<p>GR4.5.1 Upon the student's satisfactory completion of all preliminary requirements set by the <u>graduate program</u> and the Graduate Council, <u>an Oral Qualifying Exam Committee shall be nominated to the Graduate Council for appointment</u>. This committee consists of not fewer than five members. <u>The Chair and the majority of committee members must be Academic Senate and part of the student's graduate program</u>. <u>Being a part of a graduate program includes, but is not limited to, cooperating faculty and participants in interdepartmental graduate programs</u>. <u>At least one committee member must not be a part of the same graduate program as the student</u>. <u>The purpose of this "oversight member" is to enhance the scholarship of the student and the academic benefits of the exam</u>. Additionally, the <u>"oversight member" monitors the fairness of the exam itself and must be Academic Senate</u>. In the absence of a unanimous decision, a result of 4 passes and 1 no pass shall result in a pass of the Oral Qualifying Exam.</p>
<p>GR UNIVERSITY OF CALIFORNIA RIVERSIDE</p> <p>CERTIFICATE OF RESIDENT STUDY</p> <p>(Name)</p> <p>has completed studies in the field(s) of _____ on _____ the Riverside campus of the University, covering a period of _____ months between the date of _____</p> <p>_____</p> <p>and</p> <p>_____</p> <p>_____</p> <p>Dated _____</p> <p>_____</p> <p>Chair</p>	<p>REMOVE</p>

<p>_____ Dean _____ Chancellor</p>	
<p>GR4.5.2 Before he/she is admitted to candidacy, the student must pass a series of qualifying examinations, both written and oral. The written examination may be administered by the department or graduate group but the oral examination must be conducted and reported to the Dean of the Graduate Division by the student's qualifying committee. The qualifying oral is not open to the general public but members of the Academic Senate may attend.</p>	<p>GR4.5.2 Before <u>the student</u> is admitted to candidacy, <u>they</u> must pass a series of qualifying examinations, both written and oral. The written examination <u>is</u> administered by the <u>graduate program with results reported to the Graduate Division</u>. The <u>Oral Qualifying Exam</u> must be conducted, and <u>results</u> reported to the Dean of the Graduate Division, by the student's <u>approved</u> committee. The <u>Oral Qualifying Exam</u> is not open to the general public, but members of the Academic Senate may attend.</p>
<p>GR4.5.3 Upon successful completion of the qualifying examinations, the candidate shall file an application for advancement to candidacy with the Graduate Division. The candidate is advanced to candidacy by the Graduate Council after certification by the Dean of the Graduate Division that the formal requirements have been met.</p>	<p>GR4.5.3 Upon successful completion of the qualifying examinations, the candidate is advanced to candidacy by the Graduate Council after certification by the Dean of the Graduate Division that the formal requirements have been met.</p>
<p>GR4.6 DISSERTATION REQUIREMENTS</p>	<p>GR4.6 <u>DISSERTATION COMMITTEE AND</u> DISSERTATION REQUIREMENTS</p>
<p>GR4.6.1 A dissertation on a subject chosen by the candidate, bearing on his/her principal study and demonstrating his/her ability to make independent investigation, is required of every candidate for the degree. In its preparation, the candidate is guided by a dissertation committee, nominated by the candidate's department or graduate group to the Graduate Council for appointment. This committee is composed of not fewer than three members. The membership may be the same as the qualifying committee, except that the principal director of the candidate's research shall be Chair of the committee. The committee guides the candidate in his/her research and passes upon the merits of his/her dissertation and may conduct a final or oral examination.</p>	<p>GR4.6.1 A dissertation on a subject chosen by the candidate, bearing on the <u>student's</u> principal study and demonstrating <u>the</u> ability to make independent investigation, is required of every candidate for the degree. In its preparation, the candidate is guided by a Dissertation Committee, nominated to the Graduate Council for appointment. This committee is composed of not fewer than three members, <u>the majority of whom must be Academic Senate members from the student's graduate program</u>. The principal director of the candidate's research shall be Chair of the committee. The committee guides the candidate in their research and may conduct a final <u>defense that is open to the general public</u>.</p>

GR4.6.2 The dissertation must be prepared and presented in the form and manner prescribed by the Graduate Council.

GR4.6.2 The dissertation must be prepared and presented in the form and manner prescribed by the Graduate Council. Dissertations are deposited into an open access repository and freely and openly available to the public. (UC-AA-20-0488)

JUSTIFICATION and EXPLANATION OF CHANGES

GR4 - REQUIREMENTS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY

GR4.1 - Notice of Intended Candidacy

- Removing this bylaw because is not currently enforced or required by the Graduate Division. PHD students typically advance to candidacy after the completion of the Oral Qualifying Exam. It is not necessary to announce, “intended candidacy” after a student’s first term in the program.

GR4.2 - Residence Requirement

- Changing number to 4.1.
- No other changes as this mirrors the UCOP requirement.

GR4.3 - Foreign Language Requirement

- Changing number to 4.2.
- Removed the word “reading” because the knowledge of foreign language currently required in graduate programs has expanded beyond that definition. “Reading” can also imply completion of only certain language courses would be acceptable, but that is not the case in most current language requirements.
- No evidence could be found that the Educational Testing Service Graduate School Foreign Language Test still exists. The bylaw was changed to encompass language assessments tests given from UCR or other accredited organizations.
- Programs have naturally expanded beyond the three options given here, allowing “alternative certifications.” This update allows these alternatives, but also specifies that they must be approved by Graduate Council via a program change before being implemented.
- Simplified language to “graduate program.”

GR4.4 - Program of Study

- Changing number to 4.3.
- Removed gender specific language.
- Simplified language to “graduate program.”

GR4.5 - WRITTEN AND ORAL QUALIFYING EXAMINATIONS, ORAL QUALIFYING EXAM COMMITTEE, AND ADVANCEMENT TO CANDIDACY.

&

GR4.6 - DISSERTATION COMMITTEE AND DISSERTATION REQUIREMENTS

Several topics surrounding oral qualifying exam and dissertation committees have been reviewed and updated. The goal of these changes is to simplify the regulations without compromising the integrity of PhD program requirements.

There are no systemwide requirements governing oral qualifying exam and dissertation committees. The structure UCR has in place has not been reviewed or updated in over a decade. Most changes outlined here are being made to match the bylaws to current practice.

GR 4.5.1

- Simplified language to “graduate program”
- Removed mention of 3.0 requirement. That requirement is already covered in the acceptable progress bylaw.
- Removed specifics about who nominates the committee, student or program. This practice already varies across programs.
- Changed the name of the “outside member” to the “oversight member.” This change better explains the purpose of this member. Added expectations and additional definition/information about the “oversight member”.
- Removed requirement that research advisor be on Oral committee. A few graduate programs currently do not operate this way.
- Added language requiring a majority of the committee be academic senate members from the student’s program.
- Added pass information when the decision is not unanimous.
- Adjusted to gender neutral language.

GR (blank) - Certificate of Resident Study

- Removing this bylaw. This is not a certificate that is currently issued or needed.

GR4.5.2

- Removed gender specific language.
- Simplified language to “graduate program.”
- Unified language and formatting describing the Oral Qualifying Exam.
- Clarified that written qualifying exam results must also be reported to the Graduate Division.

GR4.5.3

- Removed the wording that PHD students must file for advancement to candidacy. This is a process that happens automatically once the exams are complete and confirmation paperwork of exam results are submitted. The Graduate Division currently advances students without an application.

GR4.6.1

- Removed gender specific language.
- Added language requiring a majority of the committee be academic senate members from the student’s program.
- Included final defense requirements that are already used in practice.

GR4.6.2

- Updated to include UCOP policy regarding open access requirement for dissertations.

Approvals:

Approved by the Graduate Dean: Shaun Bowler, 1/19/2021

Approved by the Graduate Council: 4/1/2021

Committee on Rules & Jurisdiction finds the wording to be consistent with the code of the Academic Senate:

Received by Executive Council: