



CHAIR, ACADEMIC SENATE
RIVERSIDE DIVISION
UNIVERSITY OFFICE BUILDING, RM 225

DYLAN RODRÍGUEZ
PROFESSOR OF MEDIA & CULTURAL STUDIES
RIVERSIDE, CA 92521-0217
TEL: (951) 827-6193
EMAIL: DYLAN.RODRIGUEZ@UCR.EDU

March 12, 2019

Robert May, Chair, Academic Council
1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

RE: [Systemwide Review] Second Systemwide Review of Proposed Presidential Policy on Open Access for Theses and Dissertations

Dear Robert,

During our March 11, 2019 meeting, the Executive Council of the Riverside Division engaged the Second Systemwide Review of Proposed Presidential Policy on Open Access for Theses and Dissertations. I have attached all standing committee comments here, and note that there is general support for the policy, although multiple committees raised important questions on the specific matter of the “embargo period.” Executive Council echoed these concerns, and members emphasized that there not necessarily a standard, one-size-fits-all embargo duration that can be applied across the disciplinary and interdisciplinary fields. Some members noted that even within particular fields, the appropriate embargo period may vary significantly depending on the content and sensitivity of the research at hand. I trust this feedback will aid in the further consideration of this policy.

peace

A handwritten signature in black ink, appearing to read 'Dylan Rodriguez'.

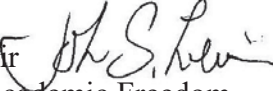
Dylan Rodriguez
Professor of Media & Cultural Studies and Chair of the Riverside Division

CC: Hilary Baxter, Executive Director of the Academic Senate
Cherysa Cortez, Executive Director of UCR Academic Senate Office

UCRIVERSIDE UNIVERSITY OF CALIFORNIA | **Academic
Senate**
COMMITTEE ON ACADEMIC FREEDOM

December 14, 2018

To: Dylan Rodriguez, Chair
Riverside Division of the Academic Senate

From: John Levin, Chair 
Committee on Academic Freedom

Re: Second Systemwide Review of Proposed Presidential Policy on Open Access for
Theses and Dissertations

The Committee on Academic Freedom considered the Proposed Presidential Policy on Open Access for Theses and Dissertations and did not find any academic freedom issues.



February 11, 2019

To: Dylan Rodríguez, Chair
Riverside Division

From: Paul Lyons, Chair 
Committee on Educational Policy

Re: Proposed Presidential Policy on Open Access for Theses and Dissertations

The Committee on Educational Policy (CEP) reviewed the proposed Presidential Policy on Open Access for Theses and Dissertations at their February 8, 2019 meeting and did not note any concerns with the proposal related to the Committee's charge of Undergraduate Education.

Members did note concern that the proposed two year embargo terms are problematic as they could potentially negatively impact scholars' future publications and may not be of sufficient duration to protect the intellectual property of scholars in some fields.

UNIVERSITY OF CALIFORNIA, RIVERSIDE



BERKELEY • DAVIS • IRVINE • LOS ANGELES • MERCED • RIVERSIDE • SAN DIEGO • SAN FRANCISCO

SANTA BARBARA • SANTA CRUZ

EXECUTIVE COMMITTEE:
COLLEGE OF HUMANITIES, ARTS, AND SOCIAL SCIENCES

RIVERSIDE, CALIFORNIA 92521-0132

January 31, 2019

TO: Dylan Rodriguez, Chair
Academic Senate

FROM: Johannes Endres, Chair
CHASS Executive Committee

RE: Proposed Policy: Second Systemwide Review of Proposed Presidential Policy on Open Access for Theses and Dissertations

The CHASS Executive Committee discussed the Second Systemwide Review of Proposed Presidential Policy on Open Access for Theses and Dissertations at the regular meeting on January 23, 2019. The committee suggested that the procedures (up to a 5-year embargo allowed, and anything above 2 years needs specific approval) for submission of a dissertation at UC Riverside should be made into a policy, while the document as is reads: "Not stated as policy but rather as procedures to submitting dissertation." Otherwise, there were no objections and the committee approved the proposed policy.

Johannes Endres, Chair

CHASS Executive Committee



February 20, 2019

To: Dylan Rodriguez, Chair
Riverside Division

From: Louis Santiago, Chair, Executive Committee
College of Natural and Agricultural Science

Re: Systemwide Review: Second Systemwide Review of Proposed Presidential
Policy on Open Access for Theses and Dissertations

The CNAS Executive Committee discussed the Proposed Presidential Policy on Open Access for Theses and Dissertations report at its February 5, 2019 meeting. Overall, the committee understands the importance of making information from a public institution available as soon as possible. However, there was a concern brought up that there is no mechanism for faculty advisors of finishing masters and doctoral students to weigh in on whether the thesis or document should be embargoed. Additionally, there was concern over where in the thesis and dissertation filing process the student requests an embargo. This is important because the default is to release theses and dissertations immediately, so if that step were missed, it could lead to premature publication of results.

Sincerely,

A handwritten signature in black ink that reads "Louis Santiago".

Louis Santiago, Chair
CNAS Executive Committee



January 23, 2019

To: Dylan Rodriguez, Chair
Riverside Division

From: Djurdjica Coss, Chair
Committee on Research

RE: Systemwide Review of Proposed Presidential Policy on Open Access for Theses and Dissertations

The Committee on Research reviewed the proposed presidential policy on Open Access for Theses and Dissertations. The committee noted that under the “Approval of Extended Embargo Period” section stating “upon receiving a letter of request from the dissertation chair or other appropriate authority overseeing the thesis or dissertation at issue, such as a Principal Investigator (P1)” that the graduate student should also be included and allowed to request extension of the embargo.

GRADUATE COUNCIL

February 21, 2019

To: Dylan Rodriguez, Chair
Riverside Division

From: Jason Stajich, Chair
Graduate Council




**RE: [Systemwide Review] Proposed Policy: Second Systemwide Review of
Proposed Presidential Policy on Open Access for Theses and Dissertations**

Graduate Council discussed the Proposed Presidential Policy on Open Access for Theses and Dissertations at their February 21, 2019 meeting. The Council found the policy acceptable and did not have any comments.



December 17, 2018

To: Dylan Rodriguez, Chair
Riverside Division

From: Jiayu Liao 
Committee on Library and Information Technology

Re: Systemwide Review. Second Systemwide Review of Proposed Presidential Policy
on Open Access for Theses and Dissertations

The Committee on Library and Information Technology reviewed the Second Systemwide Review of Proposed Presidential Policy on Open Access for Theses and Dissertations at their December 13, 2018 meeting and supports the new policy.



PLANNING & BUDGET

March 7, 2019

To: Dylan Rodriguez, Chair
Riverside Division

From: Katherine Kinney, Chair *Katherine Kinney*
Committee on Planning and Budget

RE: [Systemwide Review] Proposed Policy: Second Systemwide Review of Proposed
Presidential Policy on Open Access for Theses and Dissertations

Planning & Budget (P&B) discussed the proposed presidential policy on open access for theses and dissertations at their February 19, 2019 meeting. Members expressed general concern that open access could affect long term research results with open access to short term research publications. They understood that a thesis publication belongs to the graduate student, but the publications are based on small or short-term parts of a long-term faculty research project.

A majority of members felt the UCR Policy or UC Policy should be the same as the UC Berkeley policy, in which a two-year embargo is by default (versus a suggestion to have students choose to opt in). This seems to be the most reasonable policy so that faculty have a sufficient amount of time to use research findings before being made public. Members felt that there should be consultation and agreement with all of the stakeholders (including the faculty advisor) during the process. If there was a default two-year embargo, this gives all parties an opportunity to settle issues before publication/findings become public.