To be adopted:

Proposed changes to GR4 [Requirements for the Degree of Doctor of Philosophy, GR4.5 Qualifying Examination, Qualifying Committee and Advancement to Candidacy] and GR4.6 Dissertation Requirements bylaws.

<table>
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<tr>
<th>PRESENT:</th>
<th>PROPOSED:</th>
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<tr>
<td><strong>GR4 REQUIREMENTS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY</strong></td>
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<tr>
<td><strong>GR4.1 Notice of Intended Candidacy. Notice of intention to proceed to candidacy for the Ph.D. Degree is to be given as early as possible in a graduate student's career, preferably by the end of the first term of graduate study, to the Graduate Division through the department or graduate group of his/her major field of study.</strong></td>
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<td><strong>GR4.1</strong> Residence Requirement.</td>
<td>The minimum residence requirement for the Ph.D. Degree is two years, one of which must be spent in continuous residence at the University of California, Riverside. Graduates of the University or other approved candidates may complete a part of their residence requirement elsewhere, subject to the approval of the Graduate Council. However, no graduate student may be recommended for the Ph.D. Degree except upon completing at least one year of residence at the University of California, Riverside, devoted to such a course of study as the Graduate Council regards as a proper year's work.</td>
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<tr>
<td><strong>GR4.2</strong> Residence Requirement.</td>
<td>The minimum residence requirement for the Ph.D. Degree is two years, one of which must be spent in continuous residence at the University of California, Riverside. Graduates of the University or other approved candidates may complete a part of their residence requirement elsewhere, subject to the approval of the Graduate Council. However, no graduate student may be recommended for the Ph.D. degree except upon completing at least one year of residence at the University of California, Riverside, devoted to such a course of study as the Graduate Council regards as a proper year's work.</td>
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<td><strong>GR4.3</strong> Foreign Language Requirement.</td>
<td>Each department or graduate group shall determine whether or not a reading knowledge of one or more foreign languages shall form an integral part of the student's preparation for the doctoral degree. Satisfaction of a foreign language requirement shall be demonstrated, subject to approval of the department or graduate group, under one of the following options: (a) written or oral examination administered by the department or graduate group; (b) Educational Testing Service Graduate School Foreign Language Test; (c) satisfactory completion of a course at a specified level. Evidence of satisfaction of the requirement will be provided to the Dean of the Graduate Division for each student where appropriate. (Am 11 Dec 69)</td>
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<td><strong>GR4.4</strong> Program of Study.</td>
<td>The student's program of study must be approved by the Graduate Council, embrace a field of investigation previously approved by his/her department or graduate group, and extend over the full period of study. However, recommendation for the degree is based on the attainments of the candidate rather than the duration of his/her study. The field of study chosen by the student may lie in one department, except for essential related subjects, or in a combination of departments.</td>
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<td><strong>GR4.5</strong> QUALIFYING EXAMINATION, QUALIFYING COMMITTEE, AND ADVANCEMENT TO CANDIDACY.</td>
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GR4.5.1 Upon the student's satisfactory completion of all preliminary requirements set by the department or graduate group of his/her major field of study and by the Graduate Council, including the maintenance of an average of at least three grade points per unit in all upper division and graduate courses related to his doctoral program and elected during his/her residence as a graduate student, the department or graduate group shall nominate a qualifying committee to the Graduate Council for appointment. This committee consists of not fewer than five members, at least one of whom shall be from a department or graduate group other than that of the student's major. The anticipated principal director of the student's research shall normally be a member of the committee.

GR4.4.1 Upon the student's satisfactory completion of all preliminary requirements set by the graduate program and the Graduate Council, an Oral Qualifying Exam Committee shall be nominated to the Graduate Council for appointment. This committee consists of not fewer than five members. The Chair and the majority of committee members must be Academic Senate members and part of the student's graduate program. Being a part of a graduate program includes, but is not limited to, cooperating faculty and participants in interdepartmental graduate programs. At least one committee member must not be a part of the same graduate program as the student. The purpose of this “oversight member” is primarily to monitor the fairness of the exam itself. Additionally, the “oversight member” may enhance the scholarship of the student and contribute to the academic benefits of the exam. The “oversight member” must be an Academic Senate member. In the absence of a unanimous decision, 1 no pass vote shall result in a pass of the Oral Qualifying Exam. More than 1 no pass vote will result in a fail.

UNIVERSITY OF CALIFORNIA RIVERSIDE
CERTIFICATE OF RESIDENT STUDY
(Name) has completed studies in the field(s) of _______________________________ on the Riverside campus of the University, covering a period of _______ months between the date of ___________________________ and ___________________________.

Dated ____________________________

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<th>Chair</th>
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<th>Chancellor</th>
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**GR4.5.2** Before he/she is admitted to candidacy, the student must pass a series of qualifying examinations, both written and oral. The written examination may be administered by the department or graduate group but the oral examination must be conducted and reported to the Dean of the Graduate Division by the student's qualifying committee. The qualifying oral is not open to the general public but members of the Academic Senate may attend.

**GR4.4.2** Before the student is admitted to candidacy, the student must pass a series of qualifying examinations, both written and oral. The written examination is administered by the graduate program. The Oral Qualifying Exam is conducted by the student's approved committee. The Oral Qualifying Exam is not open to the general public, but members of the Academic Senate may attend. Written and oral exam results must be reported to the Graduate Division.

**GR4.5.3** Upon successful completion of the qualifying examinations, the candidate shall file an application for advancement to candidacy with the Graduate Division. The candidate is advanced to candidacy by the Graduate Council after certification by the Dean of the Graduate Division that the formal requirements have been met.

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<td><strong>GR4.6.1</strong> A dissertation on a subject chosen by the candidate, bearing on his/her principal study and demonstrating his/her ability to make independent investigation, is required of every candidate for the degree. In its preparation, the candidate is guided by a dissertation committee, nominated by the candidate's department or graduate group to the Graduate Council for appointment. This committee is composed of not fewer than three members. The membership may be the same as the qualifying committee, except that the principal director of the candidate's research shall be Chair of the committee. The committee guides the candidate in his/her research and passes upon the merits of his/her dissertation and may conduct a final oral examination.</td>
<td><strong>GR4.5.1</strong> A dissertation on a subject chosen by the candidate, bearing on the student's principal study and demonstrating the ability to make independent investigation, is required of every candidate for the degree. In its preparation, the candidate is guided by a Dissertation Committee, nominated to the Graduate Council for appointment. This committee is composed of not fewer than three members, the majority of whom must be Academic Senate members from the student's graduate program. The principal director of the candidate's research shall be Chair of the committee. The committee guides the candidate in the candidate's research and may conduct a final defense that is open to the general public.</td>
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Last Updated: 10/25/2021
The dissertation must be prepared and presented in the form and manner prescribed by the Graduate Council.

The dissertation must be prepared and presented in the form and manner prescribed by the Graduate Council. Dissertations are deposited into an open access repository and are freely and openly available to the public. (UC-AA-20-0488)

**JUSTIFICATION and EXPLANATION OF CHANGES (2021/2022)**

**GR4 - REQUIREMENTS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY**

**GR4.1 - Notice of Intended Candidacy**

- Removing this bylaw because it is not currently enforced or required by the Graduate Division. PHD students typically advance to candidacy after the completion of the Oral Qualifying Exam. It is not necessary to announce, “intended candidacy” after a student’s first term in the program.

**GR4.2 - Residence Requirement**

- Changing number to 4.1.
- No other changes as this mirrors the UCOP requirement.

**GR4.3 - Foreign Language Requirement**

- Changing number to 4.2.
- Removed the word “reading” because the knowledge of foreign language currently required in graduate programs has expanded beyond that definition. “Reading” can also imply completion of only certain language courses would be acceptable, but that is not the case in most current language requirements.
- No evidence could be found that the Educational Testing Service Graduate School Foreign Language Test still exists. The bylaw was changed to encompass language assessments tests given from UCR or other accredited organizations.
- Programs have naturally expanded beyond the three options given here, allowing “alternative certifications.” This update allows these alternatives, but also specifies that they must be approved by Graduate Council via a program change before being implemented.
- Simplified language to “graduate program.”

**GR4.4 - Program of Study**

- Changing number to 4.3.
- Removed gender specific language.
- Simplified language to “graduate program.”

**GR4.5 - WRITTEN AND ORAL QUALIFYING EXAMINATIONS, ORAL QUALIFYING EXAM COMMITTEE, AND ADVANCEMENT TO CANDIDACY.**

&

**GR4.6 - DISSERTATION COMMITTEE AND DISSERTATION REQUIREMENTS**

Last Updated: 10/25/2021
Several topics surrounding oral qualifying exam and dissertation committees have been reviewed and updated. The goal of these changes is to simplify the regulations without compromising the integrity of PhD program requirements.

There are no systemwide requirements governing oral qualifying exam and dissertation committees. The structure UCR has in place has not been reviewed or updated in over a decade. Most changes outlined here are being made to match the bylaws to current practice.

GR 4.5.1

- Changing 4.5 to 4.4
- Simplified language to “graduate program”
- Removed mention of 3.0 requirement. That requirement is already covered in the acceptable progress bylaw.
- Removed specifics about who nominates the committee, student or program. This practice already varies across programs.
- Changed the name of the “outside member” to the “oversight member.” This change better explains the purpose of this member. Added expectations and additional definition/information about the “oversight member”.
- Removed requirement that research advisor be on Oral committee. A few graduate programs currently do not operate this way.
- Added language requiring a majority of the committee be academic senate members from the student’s program.
- Added pass information when the decision is not unanimous.
- Adjusted to gender neutral language.

GR (blank) - Certificate of Resident Study

- Removing this bylaw. This is not a certificate that is currently issued or needed.

GR 4.5.2

- Removed gender specific language.
- Simplified language to “graduate program.”
- Unified language and formatting describing the Oral Qualifying Exam.
- Clarified that written qualifying exam results must also be reported to the Graduate Division.

GR 4.5.3

- Removed the wording that PHD students must file for advancement to candidacy. This is a process that happens automatically once the exams are complete and confirmation paperwork of exam results are submitted. The Graduate Division currently advances students without an application.

GR 4.6.1

- Changing 4.6 to 4.5
- Removed gender specific language.
- Added language requiring a majority of the committee be academic senate members from the student’s program.
• Included final defense requirements that are already used in practice.

GR4.6.2
• Updated to include UCOP policy regarding open access requirement for dissertations.

Approvals:

Approved by the Graduate Dean: Shaun Bowler, 1/3/2022
Approved by the Graduate Council: 1/4/2022
Committee on Rules & Jurisdiction finds the wording to be consistent with the code of the Academic Senate: 1/5/22
Received by Executive Council: 2/14/22
Minor corrections indicated by Rules & Jurisdiction made by Director Oswood on 2/15/22.
Approved by the Division: 02/22/2022
1/3/2022

To: Executive Council

From: Graduate Division, Dean Shaun Bowler

RE: Proposed changes to GR4 Requirements for the Degree of Doctor of Philosophy, GR4.5 Qualifying Examination, Qualifying Committee and Advancement to Candidacy and GR4.6 Dissertation Requirements

The Graduate Council and Dean of the Graduate Division has previously approved updates to these bylaws. The Committee on Rules and Jurisdiction then reviewed and provided a second round of feedback in December 2021. That feedback has been addressed below. Please review the changes to consider approval of these bylaw updates.

**GR 4.1**

Feedback:

- *(From R&J)* The word “Degree” is twice in upper case in this paragraph. We believe it should be lower case. It currently says: “The Ph.D. Degree…”

Resolution:

- Capitalization has been adjusted.

**GR 4.5.1**

Feedback:

- *(From R&J)* The third sentence “The Chair and the majority member must be Academic Senate…” Please add “members” after Academic Senate.

Resolution:

Last Updated: 10/25/2021
• The wording has been updated to include “members.”

**GR 4.5.2**
Feedback:
• *(From R&J)* Fixing text to gender neutral language is acceptable as you have written it, yet the more desirable revision avoids the appearance of error (“they” + singular verb) by changing “they” to “the student” in the first sentence. This is our suggestion.

Resolution:
• The wording has been updated.

**GR 4.6.1**
Feedback:
• *(From R&J)* Similar issue to GR4.5.2: We suggest changing “their” to “the candidate’s” in the final sentence.

Resolution:
• The wording has been updated.
January 4, 2022

To: Jason Stajich, Chair
   Riverside Division

From: Don Collins, Chair
       Graduate Council

Re: [Campus Review] Regulation Change: 3rd Round-Proposed changes to GR4 Requirements for the Degree of Doctor of Philosophy, GR4.5 Qualifying Examination, Qualifying Committee and Advancement to Candidacy and GR4.6 Dissertation Requirements

Graduate Council reviewed the proposed changes to GR4, GR4.5, and GR4.6 and was supportive of all proposed Regulation changes.
COMMITTEE ON RULES AND JURISDICTION

January 5, 2022

To:     Jason Stajich  
        Chair, Riverside Division

From:   Katie Ford  
        Chair, Committee on Rules and Jurisdiction

Re: Proposed changes to GR4 Requirements for the Degree of Doctor of Philosophy,  
    GR4.5 Qualifying Examination, Qualifying Committee and Advancement to  
    Candidacy and GR4.6 Dissertation Requirements bylaws.

The Committee on Rules and Jurisdiction reviewed this item and approves with a minor  
correction to a numbering error: Section GR4.3 is followed by GR4.5. We assume GR4.5 should  
be GR4.4.